



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT OPERATION

Docket No.: 4713-24

Date: July 21, 1997

Hon. Commissioner of Patents
and Trademarks
BOX PATENT APPLICATION
Washington, DC 20231

TRANSMITTAL LETTER

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the
United States Postal Service by "Express Mail Post Office" to
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to: Assistant Commissioner of Patents and Trademarks, Washington,
D.C. 20231, on July 21, 1997

Deomattie Kumar



Signature

July 21, 1997

Date of Signature

Sir:

Transmitted herewith for filing is the patent application of:

Applicants: ALAN J. ROSS

For: SYSTEM AND METHOD FOR ASSURING PREDICTABLE GAINS

Enclosed are:

- ☒ Specification - 19 pages; Claims -3 pages;
Abstract
- ☒ () ___ formal 4 informal Drawing(s)
- ☒ Declaration and Power of Attorney
- ☒ Assignment to MUTUAL LIFE INSURANCE COMPANY OF NEW YORK
with Assignment transmittal letter
- ☐ Small Entity Status Declaration
- ☐ Preliminary Amendment

☐ Information Disclosure Statement

☒ The filing fee is calculated below:

| CLAIMS AS FILED | | | | | |
|--|-----------------|---|-----------------|------------|--------------------------|
| For | Number Filed | | Number Extra | Rate | Basic Fee \$770.00 |
| Total Claims | 14 - 20 | = | 0 | x \$22.00 | = -0- |
| Independent Claims | 2 - 3 | = | 0 | x \$80.00 | = -0- |
| Presentation of multiple independent claims | | | | x \$260.00 | = -0- |
| Total Filing Fee: | | | | | <u>\$770.00</u> |

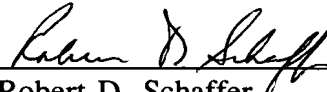
☒ A check in the amount of \$770.00 to cover the filing fee is enclosed.

☐ Certified Copy of priority document, claimed herein.

☐ Applicant hereby claims priority under the International Convention and under
35 U.S.C. §119 based upon Patent Application No. filed in on

- [X] The Commissioner is hereby authorized to charge any additional fees which may required in connection With the filing of this application, or credit any overpayment, to Deposit Account No. 18-1843. A duplicate copy of this document is enclosed.

Respectfully submitted,



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Enclosures

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